



#4
OF PAPERS
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Jonathan W. Haines et al.

Appln. No.: 09/894,821 ✓

Filed : June 28, 2001

For : SEQUENTIAL VECTOR BUFFER

Docket No.: S01.12-0711/STL 9608

Group Art Unit:2651

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

20th DAY OF February 2002
Joseph L. B...
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated August 20, 2001, Applicant
encloses the following documents to complete the above-identified
patent application filed June 28, 2001:

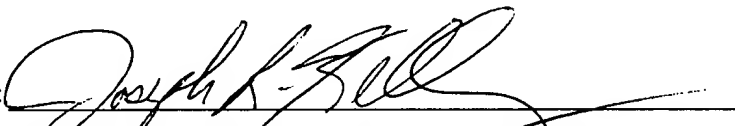
1. Our check in the amount of \$1790.00 (to cover the
filing fee \$740, surcharge fee of \$130, and three month
extension of \$920.00).
2. Combined Declaration and Power of Attorney executed by
the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date
Granted.
5. Executed Assignment

6. Assignment Recordation Sheet
7. Check \$40.00 for Recordation Fee
8. Executed Power of Attorney

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By:


Joseph R. Kelly, Reg. No. 34,847
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg

AUG 23 2001

E.S.

See for
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,821	06/28/2001	Jonathan W. Haines	S01.12-0711/STL 9608

CONFIRMATION NO. 4141

FORMALITIES LETTER



OC00000006445681

Joseph R. Kelly
WESTMAN CHAMPLIN & KELLY
International Centre - Suite 1600
900 South Second Avenue
Minneapolis, MN 55402-3319

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 03/29/2002
03/05/2002 SMINASS1 00000053 09894821
01 FC:105 130.00 CF

03/07/2002 WABRHM1 00000053 09894821

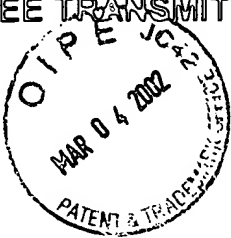
01 FC:101 740.00 OP
02 FC:105 130.00 OP

[Signature]

Initial Patent Examination Division (703) 308-1202

[illegible]

FEE TRANSMITTAL



Complete if Known

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ORIGINALLY FILED

Application No.	09/894,821
Filing Date	June 28, 2001
First Named Inventor	Jonathan W. Haines et al.
Title	SEQUENTIAL VECTOR BUFFER MANAGEMENT
Group Art Unit	2651
Examiner Name	
Atty. Docket Number	S01.12-0711/STL 9608

Total Amount of Payment \$ 1830

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 740

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	20	20	0	18	0
Indep.	3	3	0	84	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity		Small Entity		Description
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent Claims
109	84	209	42	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	130
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,310	241	670	Petition to Revive - unintentional	
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) _____

Subtotal (3) \$1090

Signature

(Joseph R. Kelly)

Reg. No. 34,847

Date

Deposit Account No. 23-1123